

Privacy Notice

FACTS	WHAT DOES CAPTRUST ADVISORS, LLC (“CAPTRUST”) DO WITH YOUR PERSONAL INFORMATION?
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WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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WHAT?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social security number ▪ Income ▪ Assets ▪ Risk tolerance ▪ Account Balances ▪ Transaction history
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HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons CapTrust chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information	Does CapTrust Share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No
For our marketing purposes – to offer our products and services to you	No	We don’t share
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For non-affiliates to market to you	No	We don’t share

Questions?	<p>Contact Brenda Glover, Chief Compliance Officer (813) 218-5006 or brenda.glover@captrustadv.com</p> <p>Visit: www.captrustadv.com</p>
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What we do

How does CapTrust protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does CapTrust collect my personal information?

We collect your personal information, for example, when you:

- Enter into an investment advisory contract
- Seek financial advice
- Tell us about your investment or retirement portfolio
- Tell us about your investment or retirement earnings
- Give us your income information

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- *CapTrust has no affiliates*

Non-Affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *CapTrust does not share with non-affiliates so they can market to you*

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *CapTrust does not jointly market.*